

SOCIAL ORGANIZATION OF THE NATIONAL REDD POLICY DOMAIN SURVEY TEMPLATE

Interview Number: _____ Organization ID: _____

Name of Organization (core actor):
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{if the above is a sub-unit of bigger organization specify:}

The above organizational actor is part of:

Name of main organization: _____

Name of organization's informant: _____

Informant's position within the organization: _____

Informant's Title: _____

Informant's Telephone: _____

Second Informant (IF ANY): _____

Informant's Title: _____

Informant's Telephone: _____

Interviewer: _____

Date: _____

Time: _____

Place: _____

NOTE: wording in { } brackets is explanatory text aimed at the interviewer to highlight specific aspects of the survey.
It is thought for internal use.

Organizational Efforts on REDD

Introduction

This research project studies the positions and activities of organizations concerning issues related to Reduced Emissions from Deforestation and Degradation (REDD). There are many different opinions about this subject. We would like to talk with you today about the **positions and activities** of (ORG NAME) concerning these issues. Because interviews are being carried out with many organizations, we will follow an interview guide to make sure we cover all the questions. The name of the respondent will be kept confidential.

Question 1: Please indicate the amount of effort your organization typically devotes to each theme **related to land use and REDD**, using the six response categories to the right.

TABLE Q1. ORGANIZATIONAL EFFORT – LARGE THEMES						
	None	Very Little	Little	Moderate	Much	Very Much
Main REDD-PLUS issues						
1. Forest Conservation	0	1	2	3	4	5
2. Enhancement of forest carbon stocks (forest restoration /regeneration)	0	1	2	3	4	5
3. Sustainable logging practices (RIL; forest certification)	0	1	2	3	4	5
4. Afforestation and reforestation	0	1	2	3	4	5
5. Agricultural land use emission reduction	0	1	2	3	4	5
REDD co-benefits						
6. Tenure rights (land, trees)	0	1	2	3	4	5
7. Biodiversity conservation	0	1	2	3	4	5
8. Poverty alleviation and equity (including distribution of REDD revenues)	0	1	2	3	4	5
9. Forest governance (illegal logging, rule of law, corruption) ¹	0	1	2	3	4	5
10. Community-Based or Joint Forest Management ¹	0	1	2	3	4	5
Other						
11. Adaptation to climate change	0	1	2	3	4	5
12. REDD International and/or national finance mechanisms design	0	1	2	3	4	5
13. REDD related carbon trading/brokerage	0	1	2	3	4	5
14. Design of national level REDD strategies and policies	0	1	2	3	4	5
15. Design of sub-national level REDD strategies and policies	0	1	2	3	4	5
16. Implementation of REDD site activities (including demonstration sites activities, e.g. World Bank and UN-REDD)	0	1	2	3	4	5
17. REDD Scientific Research	0	1	2	3	4	5
18. Other, specify:	0	1	2	3	4	5

Question 2

Which theme in Table Q1 does your organization have the longest experience with ? _____

Question 3

Roughly, what percentage of its total work does (ORG NAME) devote specifically to REDD issues? _____ %

¹ Here intended as potential co-benefits (output of REDD schemes)

Organizational Stance on International and National REDD Issues

Question 4

Next we want to talk about the stance or position of (ORG NAME) on important international and national issues regarding REDD. In Table Q4, these issues are stated in a one-sided manner to allow agreement or disagreement. Please circle the number that best reflects the level of agreement/disagreement of (ORG NAME)'s typical policy stance with the issue as stated. Please note that not all organizations are engaged with the vast variety of issues related to REDD. If you feel there is no specific or implied position of your organizations on one of the issues you can tick 'neither agree nor disagree'. If the topic is unknown to you and your organization please tick 'not known/no response'

NOTE: These response categories differ from the previous ones.

{the choice 'not known/no response' should also be ticked in cases when the respondent does not know or does not respond}

TABLE Q4. ORGANIZATIONAL STANCE ON NATIONAL REDD ISSUES						
	Not known / no response	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
REDD: International issues:						
1. REDD is an effective option for reducing green house gas emissions globally	0	1	2	3	4	5
2. REDD is a financially affordable way to mitigate climate change	0	1	2	3	4	5
3. REDD will assure fairness in the international distribution of environmental costs and benefits	0	1	2	3	4	5
4. REDD schemes should only be financed through funds	0	1	2	3	4	5
5. In the long-run REDD should be included in schemes to offset credits in compliance carbon markets	0	1	2	3	4	5
6. In the post-Kyoto regime the definition of forest should exclude monocultures	0	1	2	3	4	5
REDD: General national issues:						
7. All REDD accounting and payments should go through the national governments	0	1	2	3	4	5
8. REDD benefits should reward large-scale industries/companies for reducing forest emissions	0	1	2	3	4	5
9. REDD should mainly reward local people for emission reduction activities	0	1	2	3	4	5
10. REDD schemes will exacerbate conflicts about forest land and forest resources	0	1	2	3	4	5
REDD Co-Benefits:						
11. All REDD schemes aimed at reducing CO ² emissions should also require the realization of other key benefits like poverty reduction and biodiversity conservation	0	1	2	3	4	5
12. Improved recognition of local tenure rights is a pre-condition for effective and equitable implementation of REDD schemes	0	1	2	3	4	5
13. REDD schemes developed with the sole objectives to reduce CO ² emissions are likely to be in contrast with biodiversity conservation aims.	0	1	2	3	4	5
14. REDD schemes will be an important resource to reduce poverty	0	1	2	3	4	5
15. Without involvement of local people in their implementation , REDD projects are unlikely to be effective	0	1	2	3	4	5
Governance of REDD:						
16. REDD schemes will provide incentives and resources to improve forest governance (e.g. illegal logging and rule of law)	0	1	2	3	4	5
17. Strengthened governance is a pre-condition for successful REDD schemes	0	1	2	3	4	5
18. REDD schemes will further weaken the limited administrative capacity of the state	0	1	2	3	4	5

(continues)

	Not known / no response	Strongly disagree	Disagree	Neither agree nor disagree	Agree	Strongly agree
One of the main challenges for an effective REDD national strategy is ...						
19. ... lack of knowledge and awareness on REDD by relevant stakeholders	0	1	2	3	4	5
20. ... achieving effective coordination between state agencies, the private sector, and civil society	0	1	2	3	4	5
21. ... the lack of technical expertise for monitoring carbon emissions and sequestration	0	1	2	3	4	5
22. the effective clarification of tenure rights	0	1	2	3	4	5
23. ... contradictions among laws and regulations in forestry, agriculture and other sectors	0	1	2	3	4	5
24. ... social conflict and local resistance	0	1	2	3	4	5
25. ... effectively addressing main drivers of deforestation without compromising development objectives	0	1	2	3	4	5
26. ... achieving broad consensus on changes in existing land use plans	0	1	2	3	4	5
27. ... low capacity to enforce laws and regulations	0	1	2	3	4	5
28.... negotiating with powerful special interests influencing the main drivers of deforestation	0	1	2	3	4	5
REDD and Science:						
29. Scientific experts are the best and final authority on REDD	0	1	2	3	4	5
30. Scientific experts dominate the national REDD policy discussion , at the expense of other relevant interests (e.g. business and civil society organizations)	0	1	2	3	4	5
Technical REDD Aspects:						
Below, are a few further stances with refer to very technical aspects of REDD. Not all organizations involved in the REDD policy domain will be familiar or engaged with these issues, which are usually only relevant for more scientifically specialized organizations. If your organization is not familiar with these issues please tick '0'						
31. REDD schemes are also likely to help countries to cope or adapt to the impacts of climate change	0	1	2	3	4	5
32. REDD schemes should always require permission from local forest resource users in the form of Free Prior and Informed Consent (FPIC)	0	1	2	3	4	5
33. Forest conservation schemes, sustainable forest management and enhancement of forest carbon stocks should all be eligible for REDD	0	1	2	3	4	5
34. REDD mechanisms are unlikely to be effective in reducing national level emissions because of difficulties in controlling leakage and in assuring the additionality and permanence	0	1	2	3	4	5
35. A national approach (for reference levels, MRV, rewards etc.) is necessary to ensure effectiveness of REDD schemes (as compared to project-based approach)	0	1	2	3	4	5

{Below is a space for any notes by the interviewer on the above section}

Organizational Activities

Question 5

Please look at Table 5 below. This is a list of organizational activities. Please indicate **how much effort** your organization devotes to each type of activity **related to REDD**, using the six response categories to the right.

TABLE Q5. ORGANIZATIONAL ACTIVITIES ON REDD						
	None	Very Little	Little	Mod-erate	Much	Very Much
1. Advocacy	0	1	2	3	4	5
2. Fund-raising	0	1	2	3	4	5
3. Networking (facilitating coordination and/or information flows between organizations)	0	1	2	3	4	5
4. Publications and education	0	1	2	3	4	5
5. Project implementation	0	1	2	3	4	5
6. Research	0	1	2	3	4	5
7. Government policy advice (your organization does not hold formal decision-making authority)	0	1	2	3	4	5
8. Government policy formulation (your organization holds formal decision-making authority)	0	1	2	3	4	5
9. Government policy implementation	0	1	2	3	4	5
10. Regulation of businesses	0	1	2	3	4	5
11. Carbon trading, brokering, investment advice	0	1	2	3	4	5
12. Changing public awareness and behaviour	0	1	2	3	4	5
13. Provide discussion forums	0	1	2	3	4	5
14. Other, specify:	0	1	2	3	4	5

Networking among Organizations

{This section investigates REDD policy networking activities. It identifies and traces different types of **relations** between organizations. Questions refer to the influence of other organizations, communication/exchange of information, sources of scientific information, organizations with opposing positions, and organizations with whom there is collaboration}

Network questions introduction:

Now we want to ask about (ORG NAME)'s relations with other organizations and actors. Please answer each question by putting a tick by the relevant organizations from the following list. There is also space at the bottom for you to add important organizations/actors if they are not on the list.

Question N 1: {Influence - subjective}

Please indicate those organizations that stand out as **especially influential** on domestic REDD policies by putting a tick after the organizations' names.

Question N 2: {Regular COMMUNICATION and INFORMATION EXCHANGE }

Please indicate those organizations with which (ORGNAME) **regularly or routinely discusses and exchanges information about** national REDD policy matters?

Question N 3: {RECEIVE expert scientific information about}

Sometimes organizations need expert scientific information about REDD that can only be provided by other organizations or particular scientists. On which organizations does (ORGNAME) rely on to obtain **reliable scientific information on REDD?**

(if information is received from independent consultants not affiliated with any specific organizations, please add the name of the consultant at the bottom of the list and tick it)

Question N 4: {GIVE FUNDS }

To which organizations on List 1 has (ORGNAME) **contributed substantial funds or other in-kind resources** as payments and cost-sharing for services or goods, or for voluntary contributions including co-sponsoring of activities of common interest.

Question N 5: {RECEIVE FUNDS }

From which organizations has (ORGNAME) **received substantial funds** as payments for services or goods, or as other types of contributions including co-sponsoring of meetings and events?

Question N 6: {OPPONENTS}

With which organizations does (ORGNAME) often find itself **disagreeing** on REDD policy issues?

Question N 7: {INFORMAL COALITIONS}

With which other organizations does (ORG NAME) **regularly collaborate** concerning REDD related issues?

LIST of Organizations: Answer sheet Network Section:

			N1	N2	N3	N4	N5	N6	N7
Government, state agencies and expressly political organizations (Bureaus, Departments, Agencies, Government Research Institutes, Political Parties etc.):									
org_id	Acronym	Full Name							
1									
2									
3									
...									
National Research Institutes (Think Tanks, Independent advisory bodies, Academic institutions)									
National Business organizations (Domestic):Business Associations, Trade Associations, Research Institutes, Insurance, Banks, Investors, Single Businesses & Consultants									
National Professional Associations (Foresters, ...)									

National policy decisions and policy proposals

{Event: *TYPOLGY OF EVENTS: policy decisions and policy proposals. The researcher will have selected up to 5 recent major policy events which were central to the national REDD policy domain actors and will have compiled the list below with the relevant information*}

Question P 1: Now I would like to ask you some questions about REDD **policy proposals** being discussed and recent **policy decisions** taken in <Country Name> these days. These proposals may have been accepted and adopted recently, or they may still be under discussion. Please read through this list and check off the policies or policy proposals in which (ORG NAME) has had any interest.

Event code no.	Check	Event name	Proposal / Decision Date	Main decision/ policy proposal
1				
2				
3				
4				
5				

For each event checked, please fill out a separate National Policy Formations Process Event Answer Sheet as indicated. Make sure to fill in the information related to the correct EVENT NUMBER

Answer Sheets: National Policy Decisions & Proposals

EVENT No: 1					
P1.1.1 Please CIRCLE how much interest your organization had in this policy formation process.					
1. Very strong	2. Strong	3. Moderate	4. Little	5. Very Little	6. None
P1.1.2. What was your organization's position on this event? Was it for or against this outcome?					
1. For	2. Against	3. Partly for, partly against {only if volunteered}			
P1.1.3. Was your organization involved in the development of the policy option represented in this event?					
1. Yes			2. No		
P1.1.4. Thinking back to the beginning of this event, did your organization advocate an alternative policy?					
1. Yes			2. No		
P1.1.5. Which of the following activities was your organization involved in, and what steps did your organization undertake to try to influence the outcome of this event? Please put a tick by all that apply.					
	1. Formal participation in policy development				
	2. Informal contact with decision-makers and political actors (e.g. lobbying)				
	3. Mobilizing public opinion (advocacy, press releases etc.)				
	4. Leading or participating in protest events linked to the policy decision/proposal				
	5. Other, specify:				
	6. None				
P1.1.6. Given your organization's objectives in this event, would you say that (ORG NAME) achieved					
1. all of its objectives	2. most	3. about half	4. a few	5. none	

ADDITIONAL NOTES:

EVENT No: 2

P1.2.1. Please CIRCLE how much interest your organization had in this policy formation process.

- | | | | | | |
|----------------|-----------|-------------|-----------|----------------|---------|
| 1. Very strong | 2. Strong | 3. Moderate | 4. Little | 5. Very Little | 6. None |
|----------------|-----------|-------------|-----------|----------------|---------|

P1.2.2. What was your organization's position on this event? Was it for or against this outcome?

- | | | |
|--------|------------|---|
| 1. For | 2. Against | 3. Partly for, partly against {only if volunteered} |
|--------|------------|---|

P1.2.3. Was your organization involved in the development of the policy option represented in this event?

- | | |
|--------|-------|
| 1. Yes | 2. No |
|--------|-------|

P1.2.4. Thinking back to the beginning of this event, did your organization advocate an alternative policy?

- | | |
|--------|-------|
| 1. Yes | 2. No |
|--------|-------|

P1.2.5. Which of the following activities was your organization involved in, and what did your organization undertake to try to influence the outcome of this event? Please put a tick by all that apply.

- | | |
|--|--|
| | 7. Formal participation in policy development |
| | 8. Informal contacts (e.g. lobbying) with decision-makers and political actors |
| | 9. Mobilize public opinion (advocacy, press releases etc.) |
| | 10. Lead or participate in protest events linked to the policy decision/proposal |
| | 11. Other, specify: |
| | 12. None |

P1.2.6. Given your organization's objectives in this event, would you say that (ORG NAME) achieved

- | | | | | |
|--------------------------|---------|---------------|----------|---------|
| 1. all of its objectives | 2. most | 3. about half | 4. a few | 5. none |
|--------------------------|---------|---------------|----------|---------|

ADDITIONAL NOTES:

Answer Sheet: National Policy Decisions & Proposals

EVENT No: 3					
P1.3.1. Please CIRCLE how much interest your organization had in this policy formation process.					
1. Very strong	2. Strong	3. Moderate	4. Little	5. Very Little	6. None
P1.3.2. What was your organization's position on this event? Was it for or against this outcome?					
1. For	2. Against	3. Partly for, partly against {only if volunteered}			
P1.3.3. Was your organization involved in the development of the policy option represented in this event?					
1. Yes			2. No		
P1.3.4. Thinking back to the beginning of this event, did your organization advocate an alternative policy?					
1. Yes			2. No		
P1.3.5. Which of the following activities was your organization involved in, and what did your organization undertake to try to influence the outcome of this event? Please put a tick by all that apply.					
	13. Formal participation in policy development				
	14. Informal contacts (e.g. lobbying) with decision-makers and political actors				
	15. Mobilize public opinion (advocacy, press releases etc.)				
	16. Lead or participate in protest events linked to the policy decision/proposal				
	17. Other, specify:				
	18. None				
P1.3.6. Given your organization's objectives in this event, would you say that (ORG NAME) achieved					
1. all of its objectives	2. most	3. about half	4. a few	5. none	

ADDITIONAL NOTES:

EVENT No: 4

P1.4.1. Please CIRCLE how much interest your organization had in this policy formation process.

- | | | | | | |
|----------------|-----------|-------------|-----------|----------------|---------|
| 1. Very strong | 2. Strong | 3. Moderate | 4. Little | 5. Very Little | 6. None |
|----------------|-----------|-------------|-----------|----------------|---------|

P1.4.2. What was your organization's position on this event? Was it for or against this outcome?

- | | | |
|--------|------------|---|
| 1. For | 2. Against | 3. Partly for, partly against {only if volunteered} |
|--------|------------|---|

P1.4.3. Was your organization involved in the development of the policy option represented in this event?

- | | |
|--------|-------|
| 1. Yes | 2. No |
|--------|-------|

P1.4.4. Thinking back to the beginning of this event, did your organization advocate an alternative policy?

- | | |
|--------|-------|
| 1. Yes | 2. No |
|--------|-------|

P1.4.5. Which of the following activities was your organization involved in, and what did your organization undertake to try to influence the outcome of this event? Please put a tick by all that apply.

- | | |
|--------------------------|--|
| <input type="checkbox"/> | 19. Formal participation in policy development |
| <input type="checkbox"/> | 20. Informal contacts (e.g. lobbying) with decision-makers and political actors |
| <input type="checkbox"/> | 21. Mobilize public opinion (advocacy, press releases etc.) |
| <input type="checkbox"/> | 22. Lead or participate in protest events linked to the policy decision/proposal |
| <input type="checkbox"/> | 23. Other, specify: |
| <input type="checkbox"/> | 24. None |

P1.4.6. Given your organization's objectives in this event, would you say that (ORG NAME) achieved

- | | | | | |
|--------------------------|---------|---------------|----------|---------|
| 1. all of its objectives | 2. most | 3. about half | 4. a few | 5. none |
|--------------------------|---------|---------------|----------|---------|

ADDITIONAL NOTES:

EVENT No: 5

P1.5.1. Please CIRCLE how much interest your organization had in this policy formation process.

1. Very strong	2. Strong	3. Moderate	4. Little	5. Very Little	6. None
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P1.5.2. What was your organization's position on this event? Was it for or against this outcome?

1. For	2. Against	3. Partly for, partly against {only if volunteered}
--------	------------	---

P1.5.3. Was your organization involved in the development of the policy option represented in this event?

1. Yes	2. No
--------	-------

P1.5.4. Thinking back to the beginning of this event, did your organization advocate an alternative policy?

1. Yes	2. No
--------	-------

P1.5.5. Which of the following activities was your organization involved in, and what did your organization undertake to try to influence the outcome of this event? Please put a tick by all that apply.

- | | |
|--|--|
| | 25. Formal participation in policy development |
| | 26. Informal contacts (e.g. lobbying) with decision-makers and political actors |
| | 27. Mobilize public opinion (advocacy, press releases etc.) |
| | 28. Lead or participate in protest events linked to the policy decision/proposal |
| | 29. Other, specify: |
| | 30. None |

P1.5.6. Given your organization's objectives in this event, would you say that (ORG NAME) achieved

1. all of its objectives	2. most	3. about half	4. a few	5. none
--------------------------	---------	---------------	----------	---------

ADDITIONAL NOTES:

REDD Policy Formation Processes

Protest Events

{Note: this question applies only to non-state actors. For state actors please tick the box indicating that participation in protest events does not apply to this organization in the answer sheet and leave the sheet blank}

{The researcher will have compiled a list of up to 5 major protest events in the national REDD policy domain. The respondent can then add other events they organized or participated in.}

{Protest actions are defined as mass meetings, street demonstrations and other public actions or non-violent “direct action” to publicize and mobilize support for/against a policy issue related to REDD. }

Question P 2: Now I would like to ask you to fill in the protest answer sheet. It lists relevant protests that have been held on issues that might affect REDD policies, and it should be filled in for any other recent major **protest events related to REDD in which (ORGNAME) participated**. Please read through the list, check off the protest actions in which (ORGNAME) participated, and for those rows fill in the required information.

Protest Events Answer Sheet:

Tick the box if participation in protest events does not apply to your organization :

{To be filled in by the researcher in advance are the columns b, c, d, e. It is very important that the description of the protest event (column b) clearly and uniquely identifies the protest event for the interviewee: be specific}. The respondent will be asked to fill in columns a, f, g and h for those events which his/her organization has participated in.}

a	b	c	d	e	f	g	h
Check	Event short description	Scale *	Date	Link#: Event code no.	Main demand or policy suggestion of your organization	Leading organization	Level of achievement"

* Scale: **1.** international
2. national
3. sub-national/ local

Link: if the protest event is linked to one of the above policy events, enter the event code number.

"Level of achievement: **1.** all of its objectives
2. most
3. about half
4. a few
5. none

Organization Information

Question O 1: To what type of organization does [ORGNOME] belong to? [enter Code]

	Code	TYPE OF ORGANIZATION
Governmental Organization	1	Legislative actor: committee, body involved in policy formation, political party
	2	Executive department: ministerial/government department, body involved in policy implementation
	3	Independent advisory body
	4	Educational / research institution
NGO: non-membership based	5	Foundation/Charity/ NGO network
NGO: membership-based (individual or organizations)	6	Individual membership-based NGO (grass-root organization or union or federation of grass-root organizations) (e.g. farmers' union, indigenous groups)
	7	Professional membership-based association (e.g. journalist association)
	8	Business association (e.g. plywood producer association)
National Business	9	National private business: specify sector:
International	10	International NGO
	11	Intergovernmental Organization (UN, World Bank....)
	12	Foreign or Multinational Business specify main sector relevant to REDD:
	13	Foreign Government Agency
Other	14	Other, specify:

Question O 2: {Main activities of the organization in General not just related to REDD}

Is the scope of work of the organization mainly linked to environmental issues? Tick what applies:

1. Yes

2. No

Question O 3: {Main activities of your organization in General not just related to REDD}

Please indicate the 3 main activities that your organization is engaged in overall (not just in relation to REDD) and indicate the amount of effort your organization typically devotes to each activity.

Main Activity:	Tick which applies:	
	Minor effort	Major effort
1.		
2.		
3.		

Question O 4: Please briefly state up to three main current proposals of (ORGNOME) related to national policies on REDD in order of importance:

1. Most important:
2. Second:
3. Third:

{Question O12 applies ONLY to non- state actors}:

Question O 12: *{Participation in policy formation}*

Has a person of (ORNAME) ever served in a formal advisory role in a formal government/legislative decision-making process on climate change policies including the development of a national REDD strategy?

1. Yes

2. No.