Diversified Farming Systems: An Agroecological, Systems-based Alternative to Modern Industrial Agriculture

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ABSTRACT. This Special Issue on Diversified Farming Systems is motivated by a desire to understand how agriculture designed according to whole systems, agroecological principles can contribute to creating a more sustainable, socially just, and secure global food system. We first define Diversified Farming Systems (DFS) as farming practices and landscapes that intentionally include functional biodiversity at multiple spatial and/or temporal scales in order to maintain ecosystem services that provide critical inputs to agriculture, such as soil fertility, pest and disease control, water use efficiency, and pollination. We explore to what extent DFS overlap or are differentiated from existing concepts such as sustainable, multifunctional, organic or ecoagriculture. DFS are components of social-ecological systems that depend on certain combinations of traditional and contemporary knowledge, cultures, practices, and governance structures. Further, as ecosystem services are generated and regenerated within a DFS, the resulting social benefits in turn support the maintenance of the DFS, enhancing its ability to provision these services sustainably. We explore how social institutions, particularly alternative agri-food networks and agrarian movements, may serve to promote DFS approaches, but note that such networks and movements have other primary goals and are not always explicitly connected to the environmental and agroecological concerns embodied within the DFS concept. We examine global trends in agriculture to investigate to what extent industrialized forms of agriculture are replacing former DFS, assess the current and potential contributions of DFS to food security, food sovereignty and the global food supply, and determine where and under what circumstances DFS are expanding rather than contracting.

Key Words: agroecology; ecological diversification; food justice; food sovereignty; industrialized agriculture

INTRODUCTION

The Special Feature on Diversified Farming Systems (Kremen et al. 2012a) is motivated by a desire to understand how agriculture designed according to whole-systems, agroecological principles can contribute to creating a more sustainable, socially just, and secure global food system. “How to feed the world” is an increasingly urgent and looming concern voiced by many people, from local community groups to national and international governing bodies. By 2050, the world population is projected to rise to 9+ billion and food demands to double from current levels. At the same time, climate change, interacting with increasingly uneven access to declining oil, water, and phosphorus supplies, will greatly exacerbate the year-to-year unpredictability of agricultural production, potentially undermining the entire agricultural enterprise (Cribb 2010, Childers et al. 2011).

Meanwhile, industrialized agricultural techniques are exacting a huge toll on surrounding environments, polluting waterways, creating dead zones in the oceans, destroying biodiverse habitats, releasing toxins into food chains, endangering public health via disease outbreaks and pesticide exposures, and contributing to climate warming (Horrigan et al. 2002, Tilman et al. 2002, Diaz and Rosenberg 2008, Marks et al. 2010, Foley et al. 2011). Moreover, industrial agricultural methods are inherently unsustainable in mining soils (Lal 2004, Tegtmeier and Duffy 2005, Montgomery 2007) and aquifers (Gordon et al. 2008) far more quickly than they can be replenished, and in their high use of fossil fuels (Lynch et al. 2011). These numerous environmental and social externalities create a huge economic cost that industrialized food producers seldom pay. For instance, pesticide use alone causes up to $10 billion in damage to humans and ecosystems in the United States every year (Pimentel 2005). Finally, although the agricultural sector currently produces more than enough calories to feed humanity, one billion people remain hungry and an additional one billion have micronutrient deficiencies (Welch and Graham 1999). This paradoxical situation occurs because many people still lack access to sufficiently diverse and healthy food, or the means to produce it, which is primarily a problem of distribution rather than production (IAAKSTD 2009). As further evidence of this paradox, global obesity rates have more than doubled since 1980 (WHO 2012), reflecting an overproduction of food in industrialized countries that creates strong incentives for agri-food companies to absorb excess food production into processed foods and to market and distribute them to customers in supersized portions (Nestle 2003).

This series of articles examines the proposition that diversified farming systems, with their focus on local production, local and agroecological knowledge, and whole systems approaches

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reduce negative environmental externalities and decrease social costs associated with industrialized monocultures, enhance the sustainability and resilience of agriculture, and contribute significantly to global food security and health.

**DIVERSIFIED FARMING SYSTEMS DEFINED**

We refer to a farming system as “diversified” when it intentionally includes functional biodiversity at multiple spatial and/or temporal scales, through practices developed via traditional and/or agroecological scientific knowledge. Farmers manage this functional biodiversity to generate critical ecosystem services to agriculture (Zhang et al. 2007). At the plot (i.e., within-field) scale, diversified farming systems (DFS) may include multiple genetic varieties of a given crop and/or multiple crops grown together as polycultures, and may stimulate biodiversity within the soil through addition of compost or manure (Figure 1). By crops, we mean either annual or perennial crops, including tree crops. At the field scale, DFS may include polycultures, noncrop plantings such as insectary strips, integration of livestock or fish with crops (mixed cropping systems), and/or rotation of crops or livestock over time, including cover cropping and rotational grazing. Around the field, DFS may incorporate noncrop plantings on field borders such as living fences and hedgerows. At the landscape scale, DFS may include natural or semi-natural communities of plants and animals within the cropped landscape/region, such as fallow fields, riparian buffers, pastures, meadows, woodlots, ponds, marshes, streams, rivers, and lakes, or combinations thereof (see also Kremen and Miles 2012). The resulting heterogeneous landscapes support both desired (beneficial) components of biodiversity and “associated biodiversity”; together these two elements make up agrobiodiversity (Perfecto et al. 2005).

Components of the agrobiodiversity within DFS interact with one another and/or the physical environment to supply critical ecosystem services to the farming process, such as soil building, nitrogen fixation, nutrient cycling, water infiltration, pest or disease suppression, and pollination, thereby achieving a more sustainable form of agriculture that relies primarily upon inputs generated and regenerated within the agroecosystem, rather than primarily on external, often nonrenewable, inputs (Pearson 2007, Shennan 2008). Spatial considerations are important, since different components of the system must be in sufficient proximity, at each relevant scale, to create needed interactions and synergies. For example, the utility of intercropping for reducing belowground soil disease depends on spacing the different crops such that their root systems interact (Hiddink et al. 2010). Similarly, wild bee communities can only provide complete crop pollination services when a sufficient proportion of their natural habitat occurs within a given distance of crop fields (Kremen et al. 2004). A DFS is not only spatially heterogeneous, but is variable across time, due both to human actions (e.g., harvest, crop rotations, fallows, and other management practices or land use changes), and natural successional processes. Figure 1 presents the conceptual model of a DFS.

**AGROECOLOGY AND DFS**

The term agroecology goes back more than 80 years and originally referred to the ecological study of agricultural systems (Gliessman 2007). Much agroecological work seeks to bring Western scientific knowledge into respectful dialogue with the local and indigenous knowledge that farmers use in managing ecological processes in existing agroecosystems (Gliessman et al. 1981, Altieri and Toledo 2011). More recently this hybrid science has evolved to include the social and economic dimensions of food systems (Francis et al. 2003). Partly in response to the industrialized agriculture of the Green Revolution (Box 1), agroecology also came to mean the adoption of sustainable agricultural practices (see Box 2), and became an integral component of various social movements seeking alternatives to industrial agri-food systems. Thus agroecology currently holds multiple meanings, and can refer to an inter- or transdisciplinary science, a set of sustainable farming practices, and/or a social movement (Wezel et al. 2009). DFS is not an alternative to agroecology. Rather, DFS is a framework that draws from agroecological, social, and conservation sciences to focus analytical and action-oriented attention toward farming systems in which cross-scale ecological diversification is a major mechanism for generating and regenerating ecosystem services and supplying critical inputs to farming. Agroecological principles and methods can be used to evaluate DFS and to design or revive processes of diversification (Altieri 2002). In this essay and series of articles, we explore the ramifications of DFS for both ecological health and socioeconomic welfare, as well as examining the intersection of DFS with existing industrialized agricultural systems, supply chains, and national and international policies.

**Box 1:**

**Industrialized agriculture versus DFS**

Most industrialized agricultural systems contrast with DFS in their approaches to food production and managing production challenges. In general, industrial systems simplify ecosystems and utilize highly specialized, technical information with the goal of maximizing the profitability of a commodity crop or livestock on any given farm. Nonetheless, they do not necessarily maximize the total yield per land area or energy use (Rosset 1999, Hefland and Levine 2004, Lynch et al. 2011). Many, but not all, large-scale food and agricultural companies, government agencies, and some university research and extension programs treat farmers as users of large-scale, intensive technologies that can be applied universally across farms, irrespective of local variability and traditions of land management (Roling and Wagemakers 1998, Shennan 2008); in part, this may be responsible for lower net productivity per acre (Rosset 1999). The consolidated food industry often draws farmers into supply chains that ultimately...
Across ecological scales, DFS practices include the use of: (Red, plot scale) multiple genetic varieties within a single crop or livestock species, or multiple species intercropped, including agroforestry, and/or integration of livestock, (yellow, field scale), crop rotations, cover cropping/green manuring, or fallowing, all examples of practices that vary the planting in a given field over time, (green, field perimeter scale), planting of hedgerows or grassy buffer strips around crops, (light and dark blue, landscape scale), inclusion of woodlots, meadows, pastures, riparian corridors and other natural or semi-natural habitats in the cropped area. These practices lead to spatially heterogeneous farming systems, from plot to landscape scale, that intentionally include some aspects of biodiversity, while supporting others unintentionally. By supporting this "agrobiodiversity" (green box), these farming practices promote critical ecosystem services, such as nutrient and water cycling, soil formation, pest and disease control and pollination, as designated by the lines joining each farming practice to each service. Across temporal scales, these farming practices plus the natural successional processes enhance agrobiodiversity and ecosystem services dynamically.

Fig. 1. Conceptual model of a Diversified Farming System.
In large-scale monocultures (Figure 2) that may do little to foster biodiversity or sustain ecosystem services. While multifunctional agriculture (MFA) aims at producing multiple amenities (e.g., food, biofuels, recreation, scenery) from a farm or landscape, thus requiring some degree of crop, tree, livestock, or fish diversification, MFA does not always utilize agroecological approaches that support biodiversity and regenerate ecosystem services (Kleijn et al. 2006, Stoate et al. 2009). Merely diversifying crops and livestock may not necessarily create the multiscalar, multitemporal ecological heterogeneity and biotic interactions that would support the full suite of ecosystem services needed to support productive agriculture (Figure 1, see also Zhang et al. 2007, Shennan 2008).

DFS is similar to another concept, ecoagriculture, in recognizing that landscapes, not single farms, are important targets of land management. Other concepts, such as climate-smart agricultural landscapes or integrated watershed management, also make this link (http://blog.ecoagriculture.org/2012/03/05/terminology/, accessed Mar 13 2012), each with their own particular emphasis. DFS emphasizes how farming practices operating from plot to landscape scales maintain functional biodiversity and thus ecosystem services. Ecoagriculture emphasizes “landscapes in which biodiversity conservation is an explicit objective of agriculture” (Scherr and McNeely 2008:477). The DFS concept highlights the critical reciprocity underlying the ecoagriculture concept, that is, that the ecoagricultural landscape promotes biodiversity and in turn, critical components of biodiversity (i.e., functional biodiversity) promote agriculture through provision of ecosystem services. In summary, DFS, while closely allied to all of these concepts, places more emphasis upon the relationship between functional biodiversity and ecosystem services.

**Box 2:**

**DFS versus sustainable, organic, multifunctional and ecoagriculture**

While the concept of diversified farming systems shares much in common with multifunctional, organic, and sustainable agriculture, it differs from each of these concepts in at least one subtle but fundamental way. Unlike any of these other concepts, the premise of DFS is that, through farming practices designed to support functional biodiversity across spatial and temporal scales, the necessary ecosystem properties providing critical inputs (services) to agriculture are supplied (Figure 1). While DFS generally exemplify the characteristics of multifunctional, organic, sustainable, or ecoagriculture, the reverse may not always be true.

Specifically, the practices of DFS are the same as those utilized in sustainable agriculture or agriculture that equitably balances concerns of environmental soundness, economic viability, and social justice within communities, across societies and into future generations (Allen and Sachs 1991, Kloppenburg et al. 2000). DFS should itself be ecologically sustainable because the farming practices that create a DFS maintain the underlying functional biodiversity that generates critical ecosystem services. However, a given farm can practice sustainable agriculture without being part of a DFS if situated within a homogeneous landscape that cannot provide ecosystem services that operate over larger scales, such as pest control or pollination (Tscharntke et al. 2005). In turn, a farm or landscape can use DFS strategies to increase ecological sustainability, but may not support social sustainability due to a lack of the institutions, attitudes, and actions that address these issues of justice and equity (Alkon and Agyeman 2011, Allen 2010).

In principle, DFS should not require the use of pesticides or inorganic fertilizers and thus meets the definition of organic. However, the converse is not always true: organic agriculture is now often practiced in large-scale monocultures (Figure 2) that may do little to foster biodiversity or sustain ecosystem services.
DFS AS SOCIAL-ECOLOGICAL SYSTEMS

DFS are complex social-ecological systems that enable ecological diversification through the social institutions, practices, and governance processes that collectively manage food production and biodiversity (Pretty 1995, Pretty 2003). As many political ecology scholars emphasize, ecosystems are densely interconnected with social relationships (Robbins et al. 2010). Ecological variables such as soil, water, and habitat help configure an array of farming practices, exchanges of food and resources, and landscape management decisions that, in turn, influence the structure and function of the ecosystem. Further, as ecosystem services are generated and regenerated within a DFS, the resulting social benefits (including a range of livelihood benefits, such as healthier diets and increased farmer autonomy) in turn support the maintenance of the DFS, enhancing its ability to provision these services sustainably (Bacon et al. 2012). This interplay underlies numerous historically occurring and emerging DFS worldwide. Conversely, socio-political and economic processes such as the decrease of access and control over seeds (often associated with the expansion of crop biotechnology) or increased dependence on commodity markets can intervene to disrupt such feedback cycles, thus weakening DFS. The industrialization of agriculture has led to growing homogeneity across food systems as farming techniques and markets become more standardized (Beus and Dunlop 1990, Lyson 2004). As a consequence, the complex social relationships underlying agriculture and ecosystem service provision have become less visible. Focusing on DFS can help farming communities, researchers, policy makers, and industry recognize and restore these relationships.

At their core, DFS depend on agroecological principles that are developed in and through the social relationships among working farmers, their communities and environments, and researchers, including ecologists, anthropologists, agronomists, and ethnobiologists (Wezel et al. 2009). As seen in the Kreman et al. (2012) examples these principles take varied forms depending on local conditions. To understand how DFS may develop, function, and evolve over time and space, the particular context of each DFS needs to be studied, paying particular attention to the politics and power relations that reciprocally shape its ecological conditions. Many DFS were developed through traditional and indigenous farming knowledge and agrobiodiversity that was accumulated over millennia (e.g., the milpa landscape in Mesoamerica; Xolocotzi 1985). More recently, other DFS have been created through targeted agroecological studies designed by scientists to solve particular problems (e.g., the push-pull system for maize agriculture in Kenya; Khan et al. 2011). Historically, much knowledge about biologically diverse farming practices has been created and shared through peer-to-peer learning within traditional farming communities and, more recently, also through their collaboration with researchers interested in further developing agroecology (Holt-Giménez 2006). These relationships continue to be critical to the growth of DFS in new societal contexts and geographic locations. Since the 1980s, with the rise of the Campesino-a-Campesino and La Vía Campesina movements, institutions such as government agencies, domestic and international NGOs, and universities have become increasingly active in promoting and diffusing agroecological principles through research networks and programs (e.g., in Cuba; Rosset et al. 2011). These actors have added new institutional dimensions to the social relationships that help sustain DFS.

An illustration of how social and ecological systems interpenetrate within DFS is in the Andean highlands, where indigenous farmers have managed their lands agroecologically for 3,000 years (Brush 1982). The ongoing interplay between human management and physical ecology has created a landscape of agroclimatic belts at different altitudes, each characterized by specific field rotation practices, terraces, and irrigation systems, and the selection of specific animals, crops, and crop varieties (Altieri and Toledo 2011). Within these belts, traditional knowledge has helped sustain tremendous genetic diversity, by perpetuating adapted landraces and wild relatives of crops. Social cooperation is essential to managing the verticality and heterogeneity of the Andean ecosystem. A barter economy based on reciprocity, for example, facilitated complementary exchanges of plants and animals between ecological zones along the steep elevation gradient (Box 3).

Box 3:
The Andean highlands.
Several Andean cultures, including the Inca, adopted a political economy known as the ayllu system (Argumedo 2008). Each ayllu was an independent group with three levels of administration: the family, multiple families in a shared territory, and multiple territories in a larger organizing unit. Land was owned and managed collectively, with an assembly of farmers coordinating crop production in active fields while fallow spaces were used for livestock grazing. Thus, landscape ecology helped define a social system of nucleated settlements, communal landholdings, and land redistribution that reinforced the health of the ecosystem, a balance reflecting the Andean principles of reciprocity, duality, and equilibrium (Godoy 1994).

On the Bolivian and Peruvian altiplano, entwined systems of social and ecological diversity still thrive. For example, forty kilometers outside of Cuzco, Peru, a group of six communities have organized themselves into an agroecological farming collective known as Parque de la Papa ("Potato Park"). Socio-politically, they are attempting to reinvigorate the principles of the ayllu, with collective ownership of farmland, waters, pasture, and woodlands, and systems of cooperative labor (Argumedo 2008, also see, http://satoyama-initiative.org/en/case_studies/2/area_americas-2/the-ayllu-system-of-the-potato-park-cusco-peru/, accessed May 30, 2012). These communities feed and clothe themselves and also generate new livelihoods from tourism, seeds, and medicinal plants that in turn...
enable them to maintain ecosystem services by being able to implement DFS practices when many workers could otherwise be forced to migrate to urban centers. They have made a precedent setting agreement with the International Potato Center, a CGIAR (Consultative Group on Agricultural Research) research institute based in Lima, Peru, to repatriate its seed collection for in situ cultivation in the Potato Park. ANDES, the local NGO supporting their efforts, envisages this park as the first step in building an Andean food sovereignty zone.

In industrialized systems in both developed and developing countries, farmers must now negotiate with corporate food buyers, buy agrochemical and seed inputs from agents, seek loans from bank officials, and work with agricultural extension experts trained in pesticide use. Farmers rely on such relationships to compete effectively in supply chains and to manage changing ecological conditions, such as pest outbreaks. Nonetheless, these particular types of relationships often push individual farms to increased dependence on banks, damaging livelihoods, and undermining collaborative social learning groups as farmers specialize in a single crop and maximize short-term yields through the use of external inputs, to meet loan repayments. The economic pressures in these tightly linked systems generally corrode ecosystem services, which are the very foundation of support for potential DFS. Farmers in industrialized systems may also engage in exploitative relations with immigrant or impoverished laborers, paying inadequate wages and enforcing long hours, helping perpetuate the apparent cheapness of food.

Industrial production creates a number of “distances” between producers and consumers (geographical, temporal, or cultural) such that information flow diminishes across the supply chain (Princen 2001). Thus within the industrial agri-food system, consumers remain relatively ignorant about the conditions of production, and would be less able to choose between products based on sustainability criteria, if they value these, and to exercise their buying power in favor of DFS. In turn, the risk perceptions of consumers and corporations may inhibit the growth of DFS. For example, during the recent food safety scare in fresh leafy vegetables in California, corporate buyers insisted that growers remove native vegetation bordering fields that might attract wildlife. This action was taken largely to assuage consumer concerns, despite the lack of scientific support (Beretti and Stuart 2008).

In alternative agricultural systems such as organic or low-input farming, farmers can build particular forms of relationships that help sustain ecosystem services and social infrastructure more effectively. We discuss many of these relationships, including direct marketing, fair trade certification, and food justice movements. In developing and studying these alternative systems, however, researchers, policy makers, and NGOs often neglect race, socioeconomic, and gender issues, or sublimate them into a broad social justice category. Finding ways to be far more inclusive of diverse racial, gender, and socioeconomic groups can help strengthen the social-ecological basis of agriculture.

For instance, African-American growers once represented a sizable proportion of the U. S. farmer population, or one million in 1910, declining to 18,400 by 1997, due to race discrimination and violence, lack of land tenure (due to sharecropping practices), and multiple waves of economic migration from the South to urban centers (Reynolds 2002). Many of these black farmers used DFS practices; their displacement helped create an opening for industrialized monocultures. Now, many new farmers in rural and urban areas are black, Latino, or Asian; there is evidence that these farmers are more likely than their established peers to embrace sustainable agriculture practices if adequately supported (National Academy of Sciences 2010). Immigrants such as the Hmong may sometimes develop culturally relevant, more diversified food production enclaves within industrialized systems that preserve their traditions and provide livelihoods (Brown and Getz 2011). African-American groups have sought to reclaim and remodel their rich heritage through urban farming. They are developing new linkages between cities and nearby rural areas, potentially helping recreate DFS. For example, Will Allen founded Growing Power, an urban farming NGO that serves disadvantaged neighborhoods in Milwaukee and Chicago, attempting to encourage youth of all races to take up diversified farming. In Chicago, black activists and physicians have formed the Healthy Food Hub, a food aggregation NGO which sources produce from a historically black farming community, Pembroke Township, about an hour from Chicago. These efforts show how people can demand greater political agency in building a democratic DFS (Bacon et al. 2012).

New quantitative and qualitative research is badly needed to evaluate and critique the social benefits that DFS may provide in contrast to industrialized systems. In general, further analysis is needed to understand how the social elements of DFS can help generate and regenerate ecosystem services, thus maintaining diversified farming systems. In turn, more research is required on the political and socioeconomic interventions that could help rebuild or sustain the social-ecological cycles that underlie DFS.

**ALTERNATIVE AGRI-FOOD NETWORKS, FOOD SOVEREIGNTY AND JUSTICE**

DFS are often embedded in social, political, and economic conditions that differ from those accompanying industrialized monocultures (Box 1), particularly with respect to core stakeholders, markets, and distribution systems. Yet, DFS may not always be able to realize their potential social-ecological benefits due to the lack of enabling environments. We explore how alternative agri-food networks (AAFN) and social
movements relate to DFS and assess their potential to both maximize social benefits and promote DFS through their demands for food sovereignty and food justice.

The agri-food systems approach reveals the interconnected systems of inputs, labor, land, capital, governance and knowledge that maintain specific types of agricultural production, distribution, and consumption systems (Friedland 2001). The governance and structure of the food system upstream from the farm, such as international agricultural trade liberalization policies that promote cheap food imports from industrial into developing countries, government subsidies for fossil fuel-based agrochemicals and commodity crops (Pimentel et al. 2008, Holt-Giménez and Patel 2009, Wise 2011) and irrigation projects that primarily benefit larger landholders (Frampton 1979, Walker 2004), all help to maintain the industrialized agri-food system (Clapp and Fuchs 2009, Gottlieb and Joshi 2010). This system then creates substantial obstacles to farmers seeking to use diversified farming methods, generate value from ecosystem services, and sell food products to viable markets. It also leaves consumers and communities disconnected from the origins, qualities, and the social and ecological consequences of the production of their food, fuel, and fiber.

In the same way that industrialized monoculture production systems are sustained by industrialized agri-food systems, diversified farming systems are frequently interdependent with alternative agri-food networks (AAFNs) (Goodman and Watts 1997). AAFNs work “against the logic of bulk [high volume, low cost] commodity production, alternative food networks redistribute value through the food chain, reconvene ‘trust’ between producers and consumers, and articulate new forms of political association and market governance” (Whatmore et al. 2003:289). They are often, but not always, rooted in agroecological farming practices (Kloppenburg et al. 2000, Gliessman 2007).

AAFNs regularly use the trust and engagement generated through alternative forms of distribution to increase access to healthy, fresh, and diverse foods among consumers while providing farmers with diverse revenue streams, and risk-sharing and direct marketing strategies that cut the costs of distribution and decrease reliance on industrialized agri-food systems. AAFNs generally emerge as partnerships connecting DFS farmers with citizens, consumers, governments, food and agricultural enterprises, and environmental and social justice organizations through the development of various institutions ranging from farmers’ markets, urban gardens, and community-supported agriculture at local and regional scales, to fair trade producer cooperatives, slow food movements, and peasant organizations at the global scale (Goodman et al. 2011). These partnerships represent a new wave of social activism as Northern and Southern communities and NGOs increasingly focus on the politics and cultures of food, and identify economic incentives to transform industrialized agri-food into alternative systems that seek to produce and distribute healthy, environmentally sustainable, and socially just food.

The equitable treatment of producers is central to achieving broader adoption of DFS. If farmers are impoverished or are forced to compete with subsidized producers or importers from the industrialized food system, they are less likely to sustain diversified farming practices. Farmers markets are one example of efforts that more equitably support small-scale producers, as well as urban consumers. The estimated 7525 farmer markets in the U.S. offer local civic outlets that may generate social, economic, and cultural incentives for DFS among local farmers while encouraging a more diverse diet of fresh foods among eaters (Lyson 2004, United States Department of Agriculture 2011a, Zezima 2011). Farmers markets can provide a mechanism for farmers to reach consumers directly, educate them about DFS practices, and bypass the processing and distribution infrastructure of the industrialized agri-food systems. Yet, while farmers markets and other AAFNs may help develop and maintain DFS and vice versa, they do not yet adequately recognize ecological diversification and sustainability as core values. Farmers markets often provide a venue for organic agriculture, but they rarely use ecological sustainability as a criterion for allowing producer participation, and such markets may also include organic foods harvested from industrial monoculture (Payne 2002). In addition, while farmers markets may improve equity for smaller scale growers, they may not provide equity for consumers. Although recent policies have sought to address these challenges, less than 20% of farmers markets accepted food assistance vouchers in 2009 (Haering and Syed 2009). Farmers markets may not reach poorer socioeconomic groups, due to both price and location. Efforts are underway to increase the number of farmers markets accepting government food assistance vouchers (Zezima 2009).

In Northern countries, environmental justice advocates have recently started to promote sustainable agriculture and/or agroecology as part of a multipronged, holistic strategy for pursuing food and environmental justice across the entire production chain to remedy the environmental inequalities associated with industrialized agricultural systems (Gottlieb and Joshi 2010, Wittman et al. 2010, Alkon and Agyeman 2011). These inequalities can be traced back to how, under what conditions, and by whom food is produced, processed, distributed, and consumed, and the role of corporations and governments in shaping these conditions. Food justice issues include the unfair treatment of workers in housing, health, and labor conditions (Shreck et al. 2006, Getz et al. 2008); agrochemical exposure health risks to workers, communities, and consumers (Pullido and Peña 1998, Galt 2008, Marks et al. 2010, Harrison 2011, Peña 2011); loss of ecosystem services such as water and soil (Diaz et al. 2006, Corbera et
al. 2007); creation of pollution/wastes that affect surrounding communities (Osterberg and Wallinga 2004); lack of farm and food worker access to healthy foods (Wirth et al. 2007); and loss of access to land (Wolford 2008). By addressing these issues, food justice activism is evolving toward a strategy that encompasses both social justice and ecological sustainability (Gottlieb and Joshi 2010).

These local and national efforts are complemented by several international projects to create AAFNs and connect them to sustainable agriculture. One example is the global fair trade movement, which aims to enable consumers, often in developed countries, to pay more equitable prices to cover the full costs of production and ensure sustainable farmer livelihoods. Fair trade is not synonymous with DFS or sustainable agriculture because its criteria focus primarily on the social and economic aspects of trade and production. However, the Mesoamerican smallholders who cofounded this movement with political and religious activists manage agricultural systems that are far closer to DFS than industrial monocultures (Bacon et al. 2008, VanderHoff Boersma 2009). Their shade coffee systems now often resemble native forests and help conserve biodiversity, reduce soil erosion, conserve water, improve microclimates and resist hurricane damage (Perfecto et al. 1996, Lin 2007, Philpott et al. 2008a, b, Mendez et al. 2010). Farmers’ connections to smallholder cooperatives and global fair trade networks also partially mitigated vulnerability to crashing coffee commodity prices (Bacon et al. 2008).

New social movements also increasingly promote agroecology as central to their agenda for transforming the industrialized agri-food system at local, national, and global scales (Sevilla Guzmán 2006, Woldf 2008). In particular, a food sovereignty agenda has emerged from the aspirations and survival needs of smallholders and indigenous social movement leaders in the Global South (Windfuhr and Jonsen 2005, Rosset 2008). Food sovereignty (La Via Campesina 2009) refers to the right of local peoples to control their own agricultural and food systems, including markets, resources, food cultures, and production modes, in the face of an increasingly globalized economic system. This approach contrasts with charity-based food security models that have occasionally buffered human populations from famines (Kaluski et al. 2002), yet do not address root causes of hunger and care little for how, where, and by whom food is produced (Wittman 2009, Wittman et al. 2010). It also contrasts with dominant neoclassical trade liberalization policies that open up domestic markets worldwide to competition from multinational corporations, which has often resulted in import dumping, the erosion of smallholder livelihoods, and greater industrialization of agriculture (McMichael 2009). Food sovereignty movements promote agrarian reforms, resist state and corporate land grabs, and critique proposals that contribute to farmer debt and dependence (Wittman et al. 2010). In recent decades, the food sovereignty movement has endorsed the agroecological approaches and the social process methodologies promoted through the Campesino-a-Campesino movement (Holt-Giménez 2006).

Despite the potential of AAFNs such as farmers markets and fair trade networks to sustain and promote DFS, many alternative agri-food activities have come to resemble the industrialized agri-food systems they set out to transform. For example, the dramatic growth in organic sales in the past two decades facilitated by product certification has promoted the expansion of large-scale industrialized organic monocultures to supply this new demand (Guthman 2004, Bacon et al. 2012, see also Figure 2) even though the founding principles of organic agriculture included DFS practices (Box 2). Alternative producers sometimes justify this by arguing that large-scale, industrialized methods are the fastest way to “scale up” alternative farming practices so that they can compete in supply chains with conventionally managed systems (Box 4). In search of new markets, many dominant food corporations have purchased and integrated successful organic producers and alternative food companies into their product portfolios (Kearins and Collns 2012). This trend of purchasing “sustainable” product businesses is also observed in other sectors, such as personal care, paper, and cleaning chemicals. A growing body of literature on green consumerism raises the issue of corporate “greenwashing”. Researchers suggest that expanding corporate control over alternative products can generate some benefits (e.g., reduced pesticide usage, cleaner production practices, and investments in social development). Yet these changes may accelerate efforts to industrialize production rather than expand alternative systems (Goodman and Watts 1997). These developments call for careful scrutiny of the changing standards, price premiums, ingredients, farm level practices, and benefits to producers and consumers (Bacon et al. 2008, Clapp and Fuchs 2009).

Box 4:
Scaling up DFS and AAFN supply chains
The issues of scale and scaling up are central in shaping the development of DFS, certification, and AAFN-driven supply chains (Sayre 2005). Scale refers to the size of a given farming operation, whereas scaling up refers to the expansion of a farming practice in the agricultural system as a whole. There is growing debate regarding the means of expanding agroecological practices across the agricultural system. Some researchers, many firms, and policy makers argue that agri-food businesses will inevitably dominate alternative farming because they can implement alternative practices at high levels of productivity more effectively than food movements and smallholder farmers (Burch and Lawrence 2005). In contrast, other research suggests that, in both developed and developing countries, smaller farms are more productive in comparison to larger operations, in part because they employ DFS practices (Netting 1993, Rosset 1999). This effect may occur because farmers can exploit every ecological niche in their diversified farms to achieve greater...
productivity, whereas farmers on large-scale landholdings may lack this knowledge intensive ability (Altieri 2002, Gliessman 2007). Indeed, it remains unclear whether larger scale farms (e.g., farms exceeding $250,000 in sales in the U.S.) are even able to carry out the ecological diversification that smaller farms have been able to achieve while retaining high levels of productivity. Mechanization associated with modern large-scale operations, for example, may undermine ecosystem services.

In principle, large landholdings, whether individuals, cooperative groups, nucleated settlements, or common property regimes, are better placed to implement DFS because of their resources and ability to control, or coordinate across, a larger portion of the landscape. They could include multiple components such as pasturelands, row crops, orchards, and natural habitats within their own lands. In turn, when a larger proportion of the landscape is managed with agroecological practices, all growers can benefit from the enhanced ecosystem services that are produced, such as pest control and crop pollination services (Gabriel et al. 2010, Kremen and Miles 2012). Conversely, the bigger an individual farm is, the more vulnerable it could be to being co-opted into the industrial organic system and into corporate ownership because of pressures to achieve greater economic returns.

Whether large (as well as small) landholdings are able to practice DFS may depend on farmers’ power to manage their lands, which reflects the pattern of ownership rights, farming styles, economic pressures, and farmer autonomy existing in a particular region. Various types of ownership, including farmer cooperatives, family companies, communal institutions, and absentee businesses, may be more or less supportive of DFS at different scales. Individual tenants, for example, may be less willing to implement DFS where absentee landlords lack interest in ecological sustainability, or are subjecting them to economic pressures (e.g., rent demands or debt repayment) that discourage DFS practices. In turn, different patterns of ownership could enable small-scale management across large landholdings that can provide ecosystem benefits equivalent to smallholdings. One example is the Baix Llobregat Agricultural Park near Barcelona, where an Agricultural Park Consortium comprising local and regional governments manages a mosaic of landholdings (Bacon et al. 2012). Further research is therefore needed to understand how DFS performance may vary with scale, and whether scaling up can only follow a pathway akin to industrialized agriculture, or can be achieved through giving greater power to diverse forms of management and landholdings across large regions.

In parallel, fair trade labeling organizations initially certified exports from smallholder organizations only, thus frequently supporting DFS. However, recent changes to standards now allow transnational agricultural trade companies to export certified Fair Trade products in direct and potentially unfair competition with the smallholder organizations that this system intended to empower (Bacon 2010, Jaffe and Howard 2010). The dominant U.S. Fair Trade certification agency has ignored strong protests from smallholder farmer organizations in recently allowing large coffee plantations to sell certified Fair Trade coffee. For instance, a growing portion of Fair Trade certified coffee sold in the U.S. now originates in Brazil and Colombia in production systems supporting fewer and less diverse shade trees than Mesoamerican smallholders (Jhu et al. 2011).

In this light, many enterprises and organizations within the rapidly mainstreaming AAFNs are now trying to restrengthen their connections to sustainable agriculture and their original social goals through innovative organizational reforms. They are de-emphasizing the certification systems that they once pioneered and moving toward food sovereignty and food justice that promote the power of participants to control or coordinate their parts of the larger food system. These trends could enable the spread of DFS while simultaneously promoting the often overlooked social equity and participatory process dimensions of sustainable agriculture (Allen and Sachs 1991, Alkon and Agyeman 2011, Bacon et al. 2012). However, until recently, these movements have represented relatively small countertrends compared to the dominant certified and organic components of the industrialized agri-food system.

Certifications and market-based incentives could (and likely will) be an important component of many DFS oriented transition processes. However, broader institutional support is certainly needed. Furthermore, the leading sustainability certifications increasingly do not appear to reward the diverse forms of ownership, management, and local collaboration that would be needed to ensure the landscape-scale nature of DFS, and their standards have become increasingly flexible (and lower in some aspects) as they increasingly include industrial production systems (Jaffee and Howard 2010).

GLOBAL TRENDS IN AGRICULTURE

Industrialized agriculture

The expansion of large-scale industrialized monoculture systems of agriculture often occurs at the expense of more diversified farming systems. The widespread transformation of agriculture to large-scale monoculture systems began with the European colonial plantations of the 1500-1800s (McMichael 2009, Perfecto et al. 2009), and expanded with the mechanization of agriculture in the late 1800s and the introduction of synthetic fertilizers and pesticides by the mid 20th century. By the 1960s, a wave of agricultural science and technological innovations had created the “Green Revolution,” an integrated system of pesticides, chemical fertilizers, and genetically uniform and high-yielding crop varieties that governments, companies, and foundations vigorously promoted around the world (Evenson and Gollin 2003, Smil 2004).

In the subsequent fifty years, the expansion of industrialized agriculture increased global nitrogen use eightfold, phosphorus use tri-fold, and global pesticide production eleven-fold (Tilman et al. 2001). By 2000, Green Revolution crop varieties were broadly adopted throughout the developing
world, e.g., circa 90% of Latin America for the area under
wheat, and circa 80% in Asia for the area under rice (Evenson
and Gollin 2003), and the world’s irrigated cropland doubled
in area (Tilman et al. 2001). Encouraged by a range of
economic factors, including the incentives of U.S. federal
commodity programs, the pressures of global market
competition, neoliberal economic reforms, historically
inexpensive synthetic inputs, and the advantages of economies
of scale, field and farm sizes increased in some areas, while
noncrop areas in and around farms decreased, leading to higher
levels of homogeneity at both the field and landscape scale
(Cochrane 1993, Tscharntke et al. 2005, Liverman and Vilas
2006, Snapp et al. 2010).

Several recent signs of the continued expansion of industrial
agriculture are seen in the rapid growth of land grabs, biofuel
production, and plantations across the Global South. Land
grabbing refers to the practice of agri-food companies, commodity
traders, pension funds, and nationally-owned investment banks buying land in other countries for eventual
large-scale food and resource production in response to food
security concerns and food speculation (McMichael 2010,
Boras et al. 2011, de Schutter 2011). For example, the
provincial government of Rio Negro in Argentina recently
agreed to lease up to 320,000 ha of land to Beidahuang, a
Chinese government-owned agri-food company, to produce
soybeans, wheat, and oilseed rape primarily for animal feed
(GRAIN 2011). Negotiations occurred in secret and the
agreement was signed before it became public. Local farming
communities are now organizing against the deal, contending
that they will be displaced by the industrialized irrigation
methods being planned.

Estimates of the global scale of land grabbing are scarce and
largely based on media reports. Whereas the International
Food Policy Research Institute estimates that 20 million ha of
land were sold for land grabs between 2005 and 2009, the
World Bank calculates that around 57 million ha have attracted
foreign interest (Von Braun and Meinzen-Dick 2009,
Deininger 2011).

The expansion of large-scale commercial agriculture has also
calmed deforestation of some of the most biodiverse forests in
the world, such as in the Amazon, for soybean production
(Defries et al. 2008), and in Southeast Asian rain forests, for
oil palm (Wilcove and Koh 2010). Since the 1990s,
particularly in Brazil and Indonesia where the greatest amount
of deforestation occurred, the agents of deforestation shifted
from primarily smallholder to enterprise-driven agriculture for
global markets (Rudel et al. 2009, De Fries et al. 2010). Much
recent forest loss, along with agricultural land conversion, can
be attributed to the rapid growth in biofuel production,
centering in Southeast Asia and Latin America but expanding
to Africa. Biofuel production is driven by mandates for
renewable transport fuels, weak land use regulation,
production subsidies, and speculation by energy and
commodity companies in both developing and industrial
countries (Borras et al. 2011).

Although global estimates of the scale of industrial biofuel
production are difficult to make, the World Bank (Deininger
2011) calculates that 36 million ha were dedicated to biofuel
production (primarily maize, sugar cane, and oil crops)
globally in 2008, doubling the 2004 level. Oil palm production
in Indonesia and Malaysia indicates the emerging trajectory:
aided by government policies and subsidies, oil palm
plantations grew in Indonesia from 3.6 million ha in 1961 to
8.1 million ha by 2009 (McMichael 2010). The consequences
of the expansion of oil palm include ongoing displacement of
smallholders, increasing monoculture, and abandonment of
food cropping, though the extent to which these effects are
occurring remains uncertain (Dauvergne and Neville 2010, Li
2011). Across the Global South, oil palm and sugarcane
plantations may provide only a tenth of the jobs when
compared to the livelihoods generated through smallholder
farming (Holt-Giménez 2007).

Smallholder agriculture
Despite expansion of large-scale commercial agriculture, smallholders (< 2 ha) still make up 85% of circa 525 million
farms worldwide (Nagayets 2005). Such farmers span a
spectrum from traditional, indigenous growers using no
external inputs to those with heavy dependency on modern
seed varieties, fertilizers, and pesticides, but up to 50% of
smallholders are thought to utilize resource conserving
farming methods (Altieri and Toledo 2011). While they
represent the bulk of the agricultural population, estimated at
circa 2.6 billion people (Dixon et al. 2001), due to land
inequalities they often do not control the bulk of the arable
land (Nagayets 2005). These disparities are largest in South
America, (e.g., in Ecuador, smallholders constitute 43% of the
farmers but use only 2% of the land) and least pronounced in
Africa (e.g., in Egypt, smallholders constitute 75% of the
farmers and use < 50% of the land). Another sign of
intensifying inequalities is that mean farm size has decreased
in many parts of Africa and Asia (e.g., from 2.3 to 1.6 ha from
1970 to 1990 in India), increasing the vulnerability of small
farmers and exacerbating the poverty in these regions, while
large landholdings are increasingly controlled by a small
number of people (Nagayets 2005).

Despite poverty, the current contribution of small farms to
global food production is significant. Herrero et al. (2010)
estimate that mixed crop and livestock systems supply 50% of
the world’s cereal, 60% of the world’s meat and 75% of the
world’s dairy production. Much of this production is locally
produced and consumed, and provides the main source of food
for the world’s 1 billion poor (defined as living on <$1/day).
Altieri (2004) considers that traditional indigenous agriculture
supplies 30 – 50% of the world’s food. Nagayets (2005)
suggests that the contribution of smallholders to food production is increasing in some countries because of changing national socioeconomic and political situations and government policies favoring domestic food self-sufficiency (e.g., Cuba). As indicated previously, not all smallholder agriculture would be considered DFS. Perhaps 50% of smallholder farmers use agro-industrial inputs or have not adopted agroecological methods (Altieri and Toledo 2011). Qualitative research suggests that through implementation of “sustainable intensification”, a set of resource conserving practices also used in DFS (Netting 1993, Pretty et al. 2006), such farms could become 60-100% more productive, potentially contributing far more to local and global food security (Pretty et al. 2006, Badgley et al. 2007), although rigorous, quantitative comparisons are both lacking and needed (Seufert et al. 2012).

Overall, small-scale diversified farmers face continuous, intensifying pressures from the encroachment of industrial supply chains (Holt-Giménez 2006). However, in parts of the developing world, diversified farming systems are actually expanding, in response to food sovereignty movements, smallholder desires for healthier and more economically independent lives, and some level of civil society and government support. Agroecological techniques are site-specific and tend to be transferred from location to location through horizontal communication and social networks, with much adaptation by local communities (Holt-Giménez 2006, Altieri and Toledo 2011). Evidence of the rising adoption of agroecological principles in many Latin and Central American countries exist through the many cases of campesino-to-campesino training reported, as well as the increasingly global spread of the La Via Campesina movement (Holt-Giménez 2006, Wittman et al. 2010, Altieri and Toledo 2011). Cuba is a case where the transition to agroecological practices has been particularly rapid (from 200 families in 1999 to 110,000 families by 2009 by some estimates); in this case the expansion was a response to a severe food security crisis and lack of fossil fuel inputs following collapse of the former USSR and associated subsidies to industrialized agriculture (Rosset et al. 2004).

To some degree, DFS are also expanding in industrial countries despite the vastly more inhospitable political and economic conditions that may prevail, particularly in the U.S. There, as in Australia and many European countries, there is growing demand for organic and locally produced fruits, vegetables, fish, and meat, which is spawning an increase in the number of small-scale, highly diverse farms, often supplying urban markets (Kristiansen et al. 2006, Pollan 2006). In the U.S., certified organic agriculture has grown markedly, rising from less than 1 million acres in 1990 to 4.8 million acres in 2008 (of which 56% is croplands and the remainder rangelands) and comprises 0.7% of agricultural production with 20,000 producers (United States Department of Agriculture 2011). Worldwide, organic agriculture has tripled from 11 million ha in 1999 to 37.2 million ha in 160 countries as of 2009 and currently makes up 0.9% of agricultural production (Willer and Kilcher 2011), with 1.8 million producers in 2009, predominantly from Asia and Africa. Nonetheless, while organic agriculture tends to support greater biodiversity than conventional farms (Bengston et al. 2005), not all organic farms are DFS (Box 2, Figure 2). Much organic agriculture has become increasingly large-scale and homogeneous as producers and food companies strive to maximize profits and meet growing market demand (Guthman 2004).

IN THIS SPECIAL FEATURE

The series begins by examining what is known about how DFS maintain a range of ecosystem services that provide critical inputs to farming, including soil quality, water use efficiency, control of weeds, diseases and pests, pollination services, carbon sequestration, energy efficiency/greenhouse warming potential, resistance and resilience to climate change, food production, and biodiversity. By comparing DFS to conventional industrial systems, Kremen and Miles (2012) find that DFS significantly enhance all the ecosystem services measured with the exception of crop production, although not necessarily to the level required to control pests and diseases or provide sufficient pollination. The authors note that relatively few research dollars have yet been applied to the improvement of DFS compared to conventional systems; redressing this substantial inequality in public and private investment is necessary to close yield gaps while maintaining environmental benefits. The authors recommend that new research should be holistic and integrated across many components of the farming system to identify management systems that can take advantage of potential synergies.

Next, Bacon et al. (2012) seek to simultaneously deepen our understanding of the social consequences of DFS vs. industrial production and to unpack several key influences affecting continuity, change, and possibilities for transformation of these systems. Case studies from California’s Central Valley, Mesoamerican coffee agroforestry systems, and agricultural parks in the European Union, identify the critical role of government policy in an agricultural system’s emergence and the combination of market demand and multiactor governance that provide continuity. They find that the spread of DFS will generate social benefits, including decreased pesticide exposures, improved food security, longer agricultural working seasons, and healthier diets, but may also generate new costs, such as increased musculoskeletal injuries associated with higher manual labor demands. Social movements can alter governance arrangements and influence...
both the spread of DFS and the creation of policies that increase environmental benefits and reduce social costs. However, broader changes to the market and political structures and economic policies of agriculture are needed to enable a socially sustainable expansion of DFS.

Iles and Marsh (2012) consider several examples of obstacles to the adoption and spread of DFS in industrialized agricultural systems. These include the broader political economic context of industrialized agriculture, the erosion of farmer knowledge, and supply chain and marketing conditions that limit farmers’ ability to adopt diversified practices. To overcome these obstacles and nurture DFS, policy makers can transform agricultural research, develop peer-to-peer learning processes, support recruitment of new farmers, invest in improved agricultural conservation programs, compensate for provision of ecosystem services in working landscapes, and develop direct links to consumers and institutional markets.

In contrast to analyzing a market-led expansion of DFS, Rosset and Martínez-Torrez (2012) propose a theoretical framework focused on disputed rural territories and repeasantization to understand how and why rural social movements have increasingly adopted agroecology and diversified farming systems as part of their discourse and practice. Rural spaces are increasingly disputed as agribusiness seeks to “grab land”, control production systems, and remove many rural inhabitants from the land, while small-scale farmers, rural workers, indigenous communities and women are increasingly organized into social movements, such as Via Campesina, that seek to repopulate or maintain these landscapes through the defense of their food, seed, and land sovereignty. For peasants, family farmers and their social movements, agroecology helps both to build autonomy from unfavorable markets and to restore degraded soils. The social process of sharing these practices and values from farmer to farmer (Campesino-a-Campesino), coupled with broader global social movements, help bring alternatives such as DFS to scale.

We finish the series with an in-depth analyses of specific farming or social systems. Sayre et al. (2012) examine how ranching is the most ecologically sustainable segment of the U.S. meat industry and exemplifies many of the defining characteristics of DFS. Rangelands also provide other ecosystem services, including watershed functioning, wildlife habitat, recreation, and tourism. Innovations in marketing, incentives and easement programs that augment ranch income, creative land tenure arrangements, and collaborations among ranchers can support greater diversification. Taking advantage of rancher knowledge and stewardship can support the sustainability of ranching and its associated public benefits.

We have attempted to launch the concept of DFS by encouraging broad based interdisciplinary collaboration and practice from the outset, through combining our analysis of the ecology of food production with complementary questions of food access, distribution, and structure of the agri-food systems. This special feature thus incorporates insights from ecology, economics, political economy, and related social science fields to create a more inclusive analysis of the challenges and opportunities that influence efforts to achieve food security and the multiple dimensions of sustainable agriculture.

Responses to this article can be read online at: http://www.ecologyandsociety.org/issues/responses.php/5103

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